



PTO/SB/21 (08-00)

TRANSMITTAL

Signature

Application Number 10/739,084 Filing Date December 19, 2003 **First Named Inventor** Shunpei YAMAZAKI et al. Group Art Unit 2871 **Examiner Name** James A. Dudek

FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission **Attorney Docket Number** 0756-7232 ENCLOSURES (check all that apply) **Assignment Papers** After Allowance Communication to Fee Transmittal Form (for an Application) Drawing(s) Appeal Communication to Board Fee Attached of Appeals and Interferences Declaration and Power of Amendment / Reply Appeal Communication to Group Attorney (Appeal Notice, Brief, Reply Brief) Licensing-related Papers After Final Proprietary Information Petition Affidavits/declaration(s) Status Letter Petition to Convert to a Extension of Time Request Other Enclosures **Provisional Application** Power of Attorney, Revocation **Express Abandonment Request** 2. Change of Correspondence 3. Information Disclosure Statement Address 4. Terminal Disclaimer Certified Copy of Priority 5. Document(s) Request for Refund Response to Missing Parts/ CD, Number of CD(s) Incomplete Application Remarks The Commissioner is hereby authorized to charge any additional Response to Missing Parts fees required or credit any overpayments to Deposit Account No. 50under 37 CFR 1.52 or 1.53 2280 for the above identified docket number. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Eric J. Robinson, Reg. No. 38,285 Firm Robinson Intellectual Property Law Office, P.C. ٥r **PMB 955** Individual name 21010 Southbank Street Potomac Falls, VA 20165 Signature Date 2-14-06 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below. Type or printed name

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Date

FEB 1 6 2006

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL **FOR FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision. ☐ Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Name (Print/Type)

Signature

/	Application Number	Complete if Known
	Application Number	10/739,084
	Filing Date	December 19, 2003
	First Named Inventor	Shunpei YAMAZAKI et al.
	Examiner Name	James A. Dudek
	Group Art Unit	2871
_	Attorney Docket No.	0756-7232
Ξ		

Telephone

2-14-06

Date

METHOD OF PAYMENT	FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES						
fees and credit any overpayments to:		Large Small					
		Entity	Y				
Deposit Account Number		Fee (\$)	Fee Code	Fee (S)	Fee Description	Fee Paid	
Number	1051	130	2051	65	Surcharge - late filing fee or oath		
Danie	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Deposit Account Robinson Intellectual Property	1053	130	1053	130	Non-English specification		
Name Law Office				•		i i	
F-3	1812 2,	520	1812	2,520	For filing a request for ex parte reexamination		
Charge Any Additional Fee Required	1804 93	20*	1804	920*	Requesting publication of SIR prior to Examiner action		
Under 37 CFR 1.16 and 1.17 and credit overpayments	1805 1,8	40*	1805	1,840*	Requesting publication of SIR after Examiner action		
	1251	120	2251	60	Extension for reply within first month		
Applicant claims small entity status. See 37 CFR 1.27					.,		
2. Payment Enclosed:	1252	450	2252	225	Extension for reply within second month		
E Check ☐ Credit Card ☐ Money ☐ Other	1253 1	020	2253	510	Extension for reply within third month	-	
Order	1254 1,	,590	2254	795	Extension for reply within fourth month		
FEE CALCULATION	1255 2,	160	2255	1080	Extension for reply within fifth month		
1. BASIC FILING FEE			2401		Notice of Appeal		
Large Entity Small Entity	1402	500	2402	250	Filing a brief in support of an appeal		
Fee Fee Fee Fee Description	1403 1	000	2403	500	Request for oral hearing		
Code (\$) Code (\$) Fee Paid	1451 1,	510	1451	1.510	Petition to institute a public use proceeding	—	
1001 300 2001 150 Utility filing fee			2452		Petition to revive – unavoidable		
1111 500 2111 250 Search fee	1453 1,		2453		Petition to revive – unintentional		
1311 200 2311 100 Examination fee	1501 1,	•	2501		Utility issue fee (or reissue)		
Over 100 Sheets/250 for each additional 50			2502		Design issue fee		
		100	2503		Plant issue fee		
		400	1462		Petitions, Group I		
SUBTOTAL (1) (\$)	1463	200	1463	200	Petition, Group II		
2. EXTRA CLAIM FEES	1464 1807	130 50	1464 1807		Petitions, Group III Processing fee under 37 CR 1.17(q)		
Fee from	1806	180	1806		Submission of Information Disclosure Stmt	\$180.00	
Total Claims Extra Claims below Fee Paid X S50 = Extra Claims	8021	40	8021		Recording each patent assignment per property (times	\$100.00	
Independent .3** = X \$200 =	1809	790	2809	395	number of properties) Filing a submission after final rejection (37 CFR		
Claims Multiple Dependent =	1810	790	2810	395	§ 1.129(a)) For each additional invention to be examined (37 CFR		
				•	§ 1.29(b))		
Large Entity Small Entity Fee Fee Fee Fee Description	1801	790	2801	395	Request for Continued Examination (RCE)		
Code (\$) Code (\$)	1802	900	1802	900	Request for expedited examination of a design application		
1202 50 2202 25 Claims in excess of 20	Other fe	e (spec	cify)				
1201 200 2201 100 Independent claims in excess of 3	1						
1203 360 2203 180 Multiple dependent claim, if not paid	* Reduc	ed by	Basic F	iling F	ee Paid SUBTOTAL (3) (\$) 180.00		
1204 200 2204 100 ** Reissue independent claims over							
original patent 1205 50 2205 25 ** Reissue claims in excess of 20 and					CERTIFICATE OF MAILING		
over original patent	gufficie	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria,					
SUBTOTAL (2) (\$)	VA 22313-1450, on 2.14.06. And I M Attin Der						
**or number previously paid, if greater; For Reissues, see above	- Man I Sylvinga						
SUBMITTED BY					Complete (if applicable)		
Frie I Pohinson	Registi	ration	No.	3.8	205 (571) 424 679	9	
Name (Print/Type) Life J. ROUIISOII				0	,20) Telephone (3/1)434-0/0	-	

(Attorney/Agent)

Attorney Docket No. 0756-7232

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 1 6 2006

In re Patent Application of: Shunpei YAMAZAKI et al. Serial No. 10/739,084

Filed: December 19, 2003

For: IC CARD AND BOOKING ACCOUNT

SYSTEM USING THE IC CARD

Group Art Unit: 2871

Examiner: James A. Dudek

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

Eric J. Robinson

Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.

PMB 955

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Substitute for form 1449A/PTO				Complete if Known		
INFO	ORMATION DISCLOSURE			Application Number	10/739,084	
STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	December 19, 2003	
				First Named Inventor	Shunpei YAMAZAKI et al.	
				Group Art Unit	2871	
				Examiner Name	James A. Dudek	
Sheet	1	of	1	Attorney Docket Number	0756-7232	

				U.S. PATENT DOCUMENT	rs	
Examiner Initials*	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
				Document	MM-DD-YYYY	
		2004/0128246		Takayama et al.	07/01/2004	·
		4,954,985		Yamazaki	09/04/1990	
		6,019,284		Freeman et al.	02/01/2000	
		6,402,039		Freenla Peparl	06/11/2002	
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				FOREIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.	Office ³	Foreign Patent Document Kind Code Number ⁴ (if known		Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office	Number (I) known	'			
		EP	0 291 259		11/17/1988		Eng.
		EP	0 073 603		03/09/1983		Eng.
		WO	01/09954		02/08/2001		Abst.
		WO	03/030096		04/10/2003		Abst.
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			OTHER PR	IOR ART – NON PATEN	T LITERATURE DOCUMENTS		
Examiner Initials Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published. European Search Report dated June 29, 2004 for Application No. 03029235.3.						T ²	
					3029235.3.		
		 					+

Examiner	-	Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.